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ORIGINAL ARTICLES

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## Application of the three-dimensional average face in orthodontic practice

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*The purpose of this study was to present applying method of the three-dimensional (3D) average face in orthodontic practice. The subjects were 20 skeletal Class I patients (maxillary incisor protrusion group) with labial inclination of the upper central incisors, 15 skeletal Class I patients (control group) with standard inclination of the upper central incisors, and 25 patients (functional crossbite group) with an anterior crossbite and premature contact on at least 1 central incisor. The anterior crossbites were treated by labial movement of the upper incisors and completed within six months. The 3D morphology of the facial soft tissue was recorded using a 3D surface-imaging device for each subject. An average face was created from the facial wire frame image using 25 landmarks for identifying the composite elements of the face. The average face of the subjects in the functional crossbite group were created before and after the anterior crossbites were improved. Three-dimensional average face images showed that the soft tissue below the nose and above the vermilion border were more anterior in the maxillary incisor protrusion group than in the control group. Three-dimensional average face images for pre- and post-correction of a functional anterior crossbite showed that the soft tissue below the nose and above the vermilion border moved anteriorly, while the soft tissue in the submental region rotated backward, resulting in an increased lower facial height over the observation period. Without using radiation exposure, the present study provides useful information for the diagnosis, continuous and repeated evaluations of treatment outcomes.*

Key words : 3D, average face, upper central incisor labial inclination, anterior crossbite

### Introduction

Contemporary orthodontic treatment emphasizes improving not only the dental and skeletal relationships but also the soft tissue aspects of orthodontic problems<sup>1)</sup>. Conventionally, the lateral appearance has mainly been evaluated for facial soft tissue morphology via lateral cephalometric radiographs<sup>2)</sup>. Using lateral cephalometric radiographs, the dental and skeletal relationship were analyzed and compared with normative data to make an orthodontic diagnosis<sup>3)</sup>. However, since cephalometric radiography involves the projection of an image onto the mid-sagittal plane, it has been impossible to assess the three-dimensional (3D) characteristics of the facial soft tissue.

Recently, new approaches have been developed to allow the facial soft tissue to be analyzed in 3D, but most of the current evaluation methods involve linear and angular measurements between numerous landmarks<sup>4,5)</sup>. Several studies have assessed the facial morphology using an average face model which has provided overall characteristics for facial growth<sup>6)</sup>, ethnic characteristics<sup>7)</sup>, and gender differences<sup>8,9)</sup>. However, a few reports concerning the evaluation of the soft tissue for the diagnosis and the facial effects of orthodontic treatment have been published<sup>10)</sup>. Unfortunately, no study has yet compared the 3D average face before correcting a functional anterior crossbite with that immediately after the correction of the crossbite.

The purpose of the present study was to present

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applying method of the 3D average face while comparing (1) the normative average face with the average face of the patients with maxillary central incisor labial inclination and (2) the characteristics before and after the correction of a functional anterior crossbite.

### Subjects

The subjects were 20 patients (maxillary incisor protrusion group; 9 males and 11 females; mean age, 9 years 10 months; age range, 7 years 5 months to 14 years 2 months) who exhibited Class I malocclusion with labial inclination of the upper central incisors, 15 patients (control group; 7 males and 8 females; mean age, 9 years 0 month; age range, 8 years 0 month to 14 years 4 months) who exhibited skeletal Class I malocclusion with standard inclination of the upper central incisors, and 25 patients (functional crossbite group; 7 males; 18 females; mean age: 8 years 6 months; age range: 6 years 10 months to 10 years 9 months) with an anterior crossbite and premature contact on at least 1 central incisor. The anterior crossbites were treated by labial movement of the upper incisors and completed within six months.

The subjects agreed to participate after receiving a full explanation of the study's purpose and design. The implementation of the study was approved by the Ethics Committee of the Asahi University Graduate School of Dentistry (No. 26003).

#### *Creating the average face*

Utilizing a 3D surface-imaging device (3dMDcranial System; 3dMD, Atlanta, GA, USA), facial images were recorded for each subject. The images were recorded with the subject in a seated and natural head position. The lips were closed, and the teeth were in slight contact in the intercuspal position. Three-dimensional image data were transferred to a personal computer (HP Z210 SFF Workstation; Hewlett-Packard Company, San Francisco, CA, USA) and analyzed using an image processing software program (3D-Rugle Version 5.5; Medic Engineering, Kyoto, Japan). An average face was created from the facial wire frame image using 25 landmarks for identifying the composite elements of the face (Figure 1). The positional data of the facial 25 points were normalized. The normalized distance

between the individual landmarks were averaged and visualized to obtain an average face.

The average face of the patients in maxillary incisor protrusion and control groups. The average face of the patients in the functional crossbite group were created before and after the anterior crossbites were improved.

### Results

The average face of the maxillary incisor protrusion group showed that the soft tissue below the nose and above the vermilion border placed anteriorly comparing to the control group (Figure 2). A comparison between the average face at pre- and post-treatment showed that soft tissue below the nose and above the vermilion border moved anteriorly, and soft tissue in the submental region rotated backward with increased lower facial height over the period of observation (Figure 3).

### Discussion

Compared with the normative average face, the entire upper lip was inclined to the labial side in the 3D average face of the maxillary incisor protrusion group. We believe that by creating a 3D average face, we can visualize the differences in the lip features in the maxillary incisor region from multiple directions and more clearly describe the characteristics of the facial soft tissue<sup>7,11-13</sup>.

The image of the 3D average face following correction of a functional anterior crossbite showed that the upper lip had moved forward while the lower lip had moved backward. The lateral image showed backward rotation of the mandibular soft tissue, resulting in an increase in the lower facial height. This visualizing method was able to show the overall characteristics of the facial changes before and after treatment. Of note, these changes may have included the effects of general growth. However, the backward rotation of the mandibular soft tissue was considered to have been induced chiefly by treatment, as the treatment time was within six months, making it unlikely that the face had been altered significantly by growth over such a short time period. The present method may also be useful for detecting changes in the facial morphology on correction of a functional posterior crossbite<sup>14</sup>

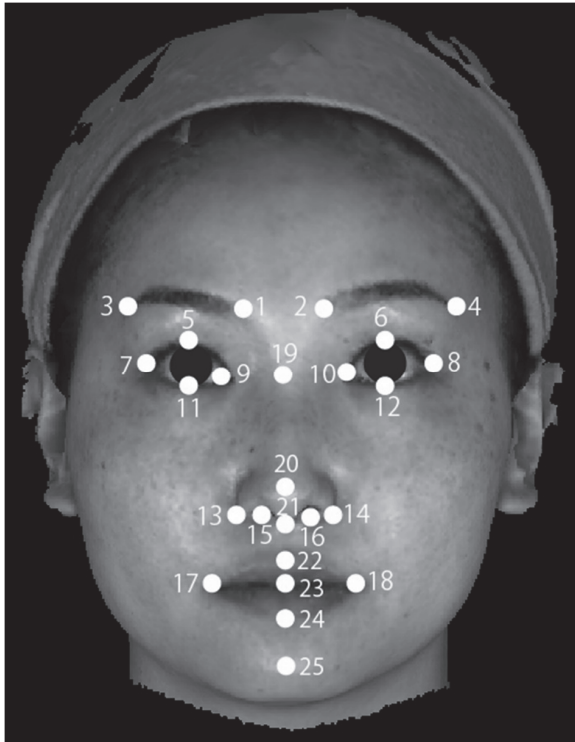


Figure 1. The 25 facial points for identifying the composing elements of the face to create the average face.(1, the inner end of the right eyebrow; 2, the inner end of the left eyebrow; 3, the outer end of the right eyebrow; 4, the outer end of the left eyebrow; 5, the most superior point of the right eye fissure; 6, the most superior point of the left eye fissure; 7, the outer commissure of the right eye fissure; 8, the outer commissure of the left eye fissure; 9, the inner commissure of the right eye fissure; 10, the inner commissure of the left eye fissure; 11, the most inferior point of the right eye fissure; 12, the most inferior point of the left eye fissure; 13, the facial inflection of the right alar base; 14, the facial inflection of the left alar base; 15, the midpoint of the right nostril; 16, the midpoint of the left nostril; 17, the point located at right labial commissure; 18, the point located at left labial commissure; 19, the most anterior median point of the nasal root; 20, the most anterior median point of the nasal tip; 21, the deepest point on the nasolabial soft tissue contour between the columella crest and upper lip; 22, the median point of the vermilion line of the upper lip; 23, the median point of the horizontal labial fissure; 24, the median point of the vermilion line of the lower lip; 25, the median point of most anterior to the mandible).

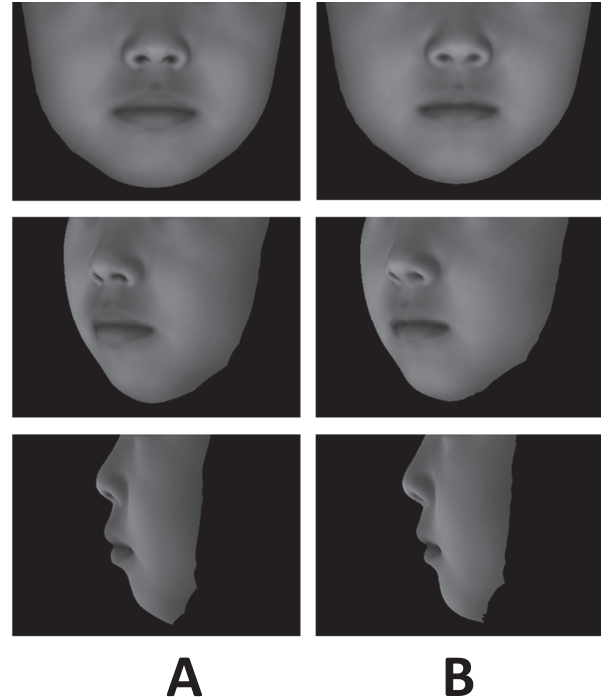


Figure 2. Average face under the eyes created based on 3D facial images in the maxillary incisor protrusion and control groups (A, Maxillary incisor protrusion group image; B, Control group image; left, frontal image; middle, three-quarter image; right, lateral image).

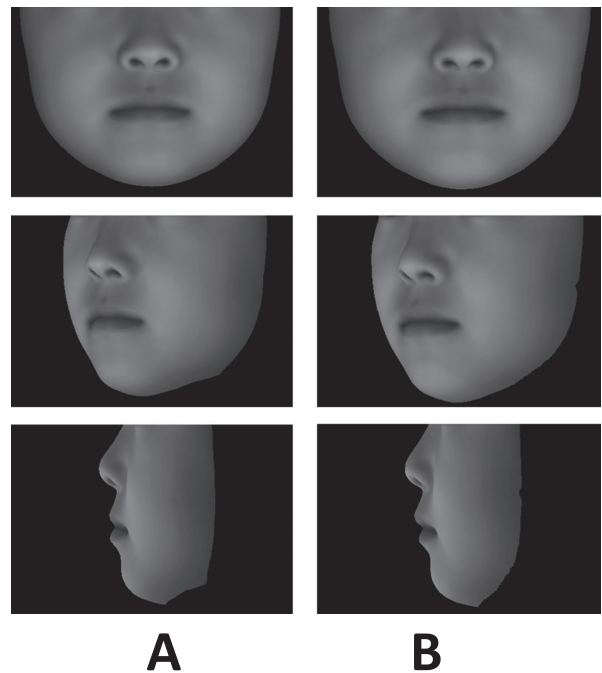


Figure 3. Average face under the eyes created based on 3D facial images before and after treatment (A, pre-treatment image; B, post-treatment image; left, frontal image; middle, three-quarter image; right, lateral image).

and in the intrusion of the posterior teeth when using skeletal anchorage devices in preadolescent patients<sup>15)</sup>.

Using the visualizing method described in the present report, improved insight into the overall characteristics of facial morphology was gained without the need for radiation exposure. The present study provides useful information for the continuous and repeated evaluations of treatment outcomes in preadolescent children due to the lack of any need for radiation exposure.

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## 矯正歯科臨床における三次元平均顔の活用

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清 水 ふ み 北 井 則 行

本研究の目的は、矯正歯科臨床において、三次元平均顔重ね合わせ法を活用することである。上顎中切歯の唇側傾斜を伴う骨格性 I 級患者（上顎切歯前突群）、上顎中切歯の標準的な歯軸傾斜を伴う骨格性 I 級患者（対照群）、少なくとも 1 歯以上の永久中切歯に早期接触を認める機能性反対咬合を伴う患者（機能性反対咬合群）を被検者とした。機能性反対咬合群では、上顎中切歯の唇側移動を行うことによって前歯部被蓋を 6 か月以内に改善した。各被検者に対して、非接触型三次元デジタルカメラ（3dMDcranial System, 3dMD, Atlanta, GA, USA）を用いて、顔面軟組織形態三次元画像を撮影した。この三次元顔面軟組織画像を用いて、それぞれの被検者群の平均顔を、顔の構成要素を代表する軟組織上の 25 点に基づいたワイヤーフレーム画像から作成した。機能性反対咬合群では、被蓋改善前後の平均顔を作成した。

その結果、上顎切歯前突群では上唇全体が前突していることが示唆された。機能性反対咬合においては、上顎中切歯唇側傾斜により被蓋改善後、上唇全体が前方へ移動し、下唇全体が後方へ移動し、オトガイが後下方へ回転し、下顔面高が大きくなっていることが示された。

平均顔を用いた顔面軟組織形態の変化を評価する方法により、放射線被曝なしで、診断に有用な顔面全体の特徴や治療による変化を表すことができた。放射線被曝を伴わないことから、若年者における短期間での治療結果の評価に有用であると考えられる。

キーワード：三次元、平均顔、上顎中切歯の唇側傾斜、機能性反対咬合

